



PRIMARY DOCUMENTATION

1. Original ID or Passport (Individual applicant, joint applicant & Corporate Signatories)
2. KRA PIN Certificate (Individual applicant, joint applicant & Corporate Signatories)
3. Passport Size Photograph (Individual applicant, Joint applicants & Corporate Signatories)
4. Confirmation of Residential/Physical Address (Utility Bill or Tenancy Agreement or Residential Address Form) (Individual applicant, joint applicant & Corporate Signatories)
5. Bank Transfer/Direct Deposit Confirmation/M-Pesa Confirmation
6. Certified Copy of Recent Bank Statement, Void Cheque or ATM Card
7. Certified Copy of Registration/Incorporation Certificate (Corporate Applicants)
8. Certified Copy of CR12 which is less than 6 months old (Corporate Applicants)
9. Copy of KRA PIN Certificate (Corporate Applicants)
10. Board Resolution Mandating Investment & Authorised Signatories (Corporate Applicants)

PART A: APPLICATION DETAILS

INDIVIDUAL APPLICANT

IMPORTANT: Please complete this form in block capitals.

Personal Account Details

Title:	Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Ms. <input type="checkbox"/>	Other:	<input type="text"/>
First Name:	<input type="text"/>			Surname:	<input type="text"/>
PIN No.:	<input type="text"/>	<input type="text"/>	<input type="text"/>	ID/Passport No.:	<input type="text"/>
Postal Address:	<input type="text"/>			Postal Code:	<input type="text"/>
Client's Occupation/Business:	<input type="text"/>				
Place of Work:	<input type="text"/>				
Tel. No(s) Office:	<input type="text"/>	Mobile:	<input type="text"/>		
Physical Address:	<input type="text"/>				
E-Mail Address:	<input type="text"/>				

JOINT APPLICANT

Secondary Account Holder (for joint account holders only)

Joint applicant(s) *(To be filled out by a joint holder to the above account)*

Instructions to be signed by:	Either Holder: <input type="checkbox"/>	All Holders: <input type="checkbox"/>	Other:	<input type="text"/>	
Title:	Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Ms. <input type="checkbox"/>	Other:	<input type="text"/>
First Name:	<input type="text"/>			Surname:	<input type="text"/>
PIN No.:	<input type="text"/>	<input type="text"/>	<input type="text"/>	ID/Passport No.:	<input type="text"/>
Postal Address:	<input type="text"/>			Postal Code:	<input type="text"/>
Client's Occupation/Business:	<input type="text"/>				
Place of Work:	<input type="text"/>				
Tel. No(s) Office:	<input type="text"/>	Mobile:	<input type="text"/>		
Physical Address:	<input type="text"/>				
E-Mail Address:	<input type="text"/>				

AUTHORISED SIGNATORIES FOR CORPORATE CLIENT

	Name	Position in Organization	ID/Passport Number	Signature
1.				
2.				
3.				
4.				
5.				

PART B: BANK DETAILS AND PAYMENT METHOD

BANK DETAILS (Please attach a photocopy of a certified recent bank statement or a certified copy of void cheque or a certified copy of an ATM card as proof of the bank account details entered in the section below). Proceeds of your investment will be paid into this account. No payments will be made to a third party's bank account.

We do not accept/effect any cash transactions.

Account Name:

Account No.:

Bank Name:

Bank Branch:

Payment Method: At withdrawal/liquidation, payment is by EFT/RTGS only

PART C: SOURCE OF FUNDS

The funds for this investment are from: (please tick)

Investment Income: Gift or Inheritance: Loan: Sale of Investment Portfolio/Property: Pension: Salary/Savings:

Other (please state)

Apollo Asset Management reserves the right to seek further information/documentation on the source of funds to be invested.

Apollo accepts Personal/Corporate and Banker's cheques payable to the ACCOUNT NAME of the fund in which you intend to invest in.

NO THIRD PARTY CHEQUES will be accepted. Please note that the bank deposit slip will be deemed as a receipt but not as value by Apollo Asset Management Company Limited.

PART D: INCOME DISTRIBUTION

Interest/Dividends will be reinvested monthly for the Apollo Money Market Fund. Semi-annually for the Apollo Equity Fund and quarterly for the Apollo Balanced Fund. (Please note that for Money Market Fund, automatic re-investment will provide you with maximum compound growth).

Re-invest: Yes: No:

If "No", please send income distribution amounts to my Bank Account on file (please tick where applicable)

Apollo Money Market Fund (Monthly) Apollo Equity Fund (Semi-Annually) Apollo Balanced Fund (Quarterly)

PART D: INCOME DISTRIBUTION

1. Are you a U.S Resident? Yes No

2. Are you a U.S Citizen? Yes No

If "Yes", kindly fill in the FATCA Declaration Form provided.

PART F: DETAILS OF UNIT TRUST BANK ACCOUNTS

NAME OF FUND	ACCOUNT NUMBER	AMOUNT IN NUMBERS
Apollo Money Market Fund	01122163416400	
Apollo Balanced Fund	01122163416401	
Apollo Equity Fund	01122163416402	

Bank: The Co-operative Bank of Kenya	Swift Code: KCOOKENA	Currency: Kenya Shillings (KES)
Branch: Upper Hill	Branch Code: 11037	Bank Code: 11000

PART G: FUND DETAILS**Money Market Fund**

The fund is suitable for investors seeking liquidity, regular interest and capital preservation. The fund invests primarily in interest bearing assets like fixed deposits and short term bonds.

Recommended investment horizon: Short - Medium term

Risk level: Low Risk

Fee structure:

- Initial fee - 0%
- Management fee - Up to 2% per annum

Equity Fund

The fund is suitable for investors seeking long term capital growth. The fund aims to offer superior returns over the long term by maximizing the long term capital growth. The fund invests primarily in listed companies on the Nairobi Securities Exchange.

Recommended investment horizon: Long term

Risk level: Medium - High Risk

Fee structure

- Initial fee - Up to 5%
- Management fee - Up to 2% per annum

Balanced Fund

The fund is suitable for investors seeking a balanced portfolio. The fund invests primarily in listed companies on the Nairobi Securities Exchange as well as interest bearing assets like fixed deposits and bonds.

Recommended investment horizon: Medium - Long term

Risk level: Medium - High Risk

Fee Structure

- Initial fee - Up to 4%
- Management fee - Up to 2% per annum

PART G: DISCLAIMER

The value of investments can fall as well as rise and you may not get back the amount you have invested. Income from an investment may fluctuate in money terms. Past performance is not necessarily a guide to future performance.

The price of shares, and the income therefrom if the collective investment scheme pays dividends, may go down as well as up; and Investors are reminded that in certain specified circumstances, their right to redeem their shares may be suspended.

Tax rates and reliefs may change and the value of tax rates and reliefs depend on individual circumstances. Accordingly, clients should seek independent professional advice on taxation implications before making any decisions about a financial product or class of products.

The investment decision is yours. You should carefully consider whether any investment product or service mentioned herein is appropriate for you in view of your personal circumstances and are consistent with your investment objectives.

PART I: PROTECTION OF PERSONAL DATA

Personal data refers to all information that may directly or indirectly identify you. In order to provide you with products and services, we need to collect, use, share and store your personal data. This may include information provided by you or obtained from third parties.

The information may be used to assist us in providing the service you are applying for and shall be used in fulfilment of contractual obligations.

We may also use the information to advise you of other products and services provided by us, to confirm, update and enhance records and to establish your identity. The data collected may be shared/transferred/stored/processed within or outside the Kenyan jurisdiction.

Any reference to “We” or “Us” will mean Apollo Group.

Refer to our website www.apainsurance.org to see the entities under Apollo Group.

In case you need to revoke this consent, please send an email to (privacy@apollo.co.ke).

I consent to the processing and protection of my personal data.

PART J: DECLARATIONS AND SIGNATURES

I/We apply for investments into Apollo Unit Trust Funds on the terms, conditions and regulatory information set out in the information memorandum.

I/We have read and understood the information memorandum, the charges made by Apollo Asset Management Company Ltd and the terms and conditions of this application.

I/We confirm that the units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that I/we will not sell, transfer or otherwise dispose of any such units directly or indirectly to or for the account of such person.

I/We hereby agree that all proceeds for redemptions and income distribution will be paid in accordance with the applicable payment Terms and Conditions.

I/We agree that the Apollo Asset Management Company Ltd is not responsible for any liability, losses or damages resulting from e-mail, except when such liability or loss results from negligence, or willful default on Apollo's part.

I/We warrant that all the information given in this proposal and in all documents which have been or will be signed by me/us in connection with the proposed application whether in my/our handwriting or not, is true and complete.

I/We consent to the disclosure of this information for compliance purposes to the companies within Apollo Group or its agents and the necessary regulators and government agencies.

I/We, the undersigned, confirm that I/we have read and understood this declaration and its implications. I/We confirm that I/we have issued payments towards investments in the funds detailed in Page 3.

I/We instruct that in the event of death of the investor and the funds are held by Apollo Asset Management Company, funds shall be transferred to beneficiary pursuant to established laws as at the time of the transfer.

Signature of Applicant/Authorised Signatories

Signature: _____

Date:

D	D	M	M	Y	Y	Y	Y
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Signature: _____

Date:

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Signature: _____

Date:

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Signature: _____

Date:

D	D	M	M	Y	Y	Y	Y
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Signature: _____

Date:

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FOR OFFICIAL USE ONLY

Referee Name:

Signature:

Referee No:

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Branch:

Prepared By:

Signature:

Date:

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Checked By:

Signature:

Date:

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Approved By:

Signature:

Date:

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